Applicant or Patentee: Ole Th. __p, et al Attorney's Docket No.: 114465.400 Serial or Patent No.: 08/819,612

Filed or Issued: <u>March 17, 1997</u>
For: NOVEL FLUORESCENT PROTEINS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37) CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby dec	lare that	I	am
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[] the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF ORGANIZATION: BioImage A/S
ADDRESS OF ORGANIZATION: Mørkhøj Bygade 28, 2860 Søborg, Denmark

I hereby declare that the above identified small business concern qualified as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, oral third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled by inventor(s) described in

[]	the specification f	iled herewith.
[X]	application Serial	No. 08/819,612 filed March 17,1997
[]	patent no	, issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME		
ADDRESS		
[] INDIVIDUAL	[] SMALL BUSINESS CONCERN	[]NONPROFIT ORGANIZATION
	•	
NAME		
ADDRESS		
[] INDIVIDUAL	[] SMALL BUSINESS CONCERN	[] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title
8 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any
patent issuing thereon, or any patent to which this verified statement is directed.
State of Marie Call
NAME OF PERSON SIGNING: Ole Thastrup
FITLE IN ORGANIZATION: Chief Technology Officer / Chief Operating Officer
ADDRESS OF PERSON SIGNING: Mørkhøj Bygade 28, DK-2860 8øborg, Denmark
SIGNATURE:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that

DC: #127976 v1 (2QQW01!.WPD)

4594.204-US

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Novel Fluorescent Proteins	
the specification of which (check only one item below):	٠.
[] is attached hereto	
[X] was filed as United States application	
Serial No. to be assigned	<u>.</u>
on <u>March 17, 1997</u>	
and was amended	
on	
[] was filed as PCT international application	
Number	·
on	······································
and was amended under PCT Article 19	
on	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign applications(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign applications(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Denmark	1065/95	22 September 1995	[x] YES [] NO
			[] YES [] NO
	÷		[] YES [] NO
			[] YES [] NO
·			[] YES [] NO
			[] YES [] NO

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Attorney's Docket Number: 4594.204-US

I hereby claim the benefit under Title 15, United States Code \$120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this applications is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 15, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 17, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

DRIOR ILS ADDITIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT

	U.S. APPLICATIONS					STATUS (Check one)			
U.S. APPLICATION NUMBER				U.S. FILING DATE	Pa	atented	Pending	Abandoned	
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		DOT ADDI	TOTOMS DE	SIGNATING TH	E II.S.				<u> </u>
	APPLICATION NO. FILING DATE			US SERIAL NUMBERS ASSIGNED (if any)					
	PCT/DK96/000	51	31 Janua	ry 1996					
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Steve T. Zelson Reg. No. 30,335 Send Correspondence to: Steve T. Zelson, Esq. Novo Nordisk of North America, I 405 Lexington Avenue, Suite 6400 New York, New York, 10174-6400				34,086 Reg. No. 38,711 ca. Inc. 6400	on Val	Valeta A. Gregg Reg. No. 35,127 Direct Telephone Calls To: Steve T. Zelson (212) 867-0123			
1	Full Name	Family Hame Thastrup	TOLK, New 1	10174	First Given Name Ole		Second Give	ic Name	
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2	Full Name	11 Name That I have			First Given Mans Søren		Second Given Name		
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-	Post Office Address Address Solnavej 53, 1. tv.			city DK-2860-Søborg		state & Zip Code/Country Denmark			
3	Full Name of Inventor	Name Femily Hame			First Given Name Lars		second Given Mane Kongsbak		
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Post Office Klampenborgvej 102			DK-2800 Lyngby		Denmar	code/country			

Attorney's Docket Number 4594.204-US

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Signature of Inventor 1 Olimbras 4700	Signature of Inventor 1	Las Kongsbak Poulsey
970521.	970521	9705 21
Signature of Inventor 4	Signature of Inventor S	Signature of inventor 6
Sara P. B. Jani		
Date	Date	Date
970521		
Signature of Inventor ?	Signature of Inventor &	Signature of Inventor 9
Dace	Date	Date
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